



Registered Office Address:  
Mindtree Ltd, Global Village, RVCE Post,  
Mysore Road,  
Bengaluru-560059, Karnataka, India. CIN:  
L72200KA1999PLC025564  
E-mail: info@mindtree.com

Ref: MT/STAT/CS/20-21/198

October 5, 2020

**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001

**National Stock Exchange of India Limited**  
Exchange Plaza,  
Bandra Kurla Complex, Bandra East,  
Mumbai 400 051

Dear Sirs,

**Subject: Compliance Certificate as per Regulation 40(9) for the half year ended September 30, 2020**

Please find enclosed the Compliance Certificate issued by a Practicing Company Secretary as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.

Please take the above intimation on records.

Thanking you,  
Yours sincerely,

for **Mindtree Limited**

Vedavalli S  
**Company Secretary**

Mindtree Ltd  
Global Village  
RVCE Post, Mysore Road Bengaluru – 560059  
T +9180 6706 4000 F +9180 6706 4100  
W: www.mindtree.com

Name of the Company: Mindtree Limited  
Registered office: Global Village, RVCE Post,  
Mysore Road, Bangalore -560059  
CIN: L72200KA1999PLC025564

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020  
(FROM 01<sup>ST</sup> APRIL, 2020 TO 30<sup>TH</sup> SEPTEMBER, 2020)**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to MINDTREE LIMITED (CIN: L72200KA1999PLC025564) ('the Company') maintained by Link Intime India Private Limited [the Registrar and Transfer Agent (RTA)] pertaining to transfer of Equity Shares of the Company for the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby certify that during the half year ended on 30<sup>th</sup> September, 2020:

- A. Share Certificates relating to the Share Transfer/Transmission forms received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 as entered in the Memorandum of Transfers/Transmission have been issued within thirty days from the respective date of lodgment of each form excepting those rejected on technical grounds.
- B. Share Certificates in respect of requests for exchange of duplicate certificates have been issued within thirty days of lodgment and No requests have been received for issue of split share certificates during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.

Date: 05<sup>th</sup> October, 2020  
Place: Bangalore



For KDSH & Associates LLP  
(Company Secretaries)

Shivarama Hegde  
Designated Partner  
FCS-10680 C.P No.18141  
UDIN:F010680B000856547