



**Mindtree**

A Larsen & Toubro Group Company

Registered Office Address: Mindtree Limited  
Global Village, RVCE Post, Mysore Road,  
Bengaluru – 560059, Karnataka, India.  
Corporate identity Number (CIN): L72200KA1999PLC025564  
E-mail : [info@mindtree.com](mailto:info@mindtree.com)

**Ref: MT/STAT/CS/2021-22/034**

**May 31, 2021**

To  
**BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai 400 001  
BSE: fax: 022 2272 3121/2041/ 61  
Phone 22-22721233/4  
Email: [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com)

To  
**National Stock Exchange of India Limited,**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra East, Mumbai 400 051  
NSE: fax: 022 2659 8237 / 38  
Phone: (022) 2659 8235 / 36  
Email: [cmlist@nse.co.in](mailto:cmlist@nse.co.in)

*Stock Code/Symbol: 532819/MINDTREE*

**Dear Sirs,**

**Subject: Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March 2021**

Please find enclosed herewith Annual Secretarial Compliance Report for the year ended March 31, 2021 pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and SEBI Circular No. CIR/CFD/ CMDI/ 27/ 2019 dated February 8, 2019.

Please take the above on records.

Thanking you,

Yours sincerely,  
for **Mindtree Limited**

Subhodh Shetty  
Company Secretary  
ACS - 13722

Encl: as below

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Global Village  
RVCE Post, Mysore Road  
Bengaluru – 560059

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F +91 80 6706 4100  
W [www.mindtree.com](http://www.mindtree.com)

**G. SHANKER PRASAD ACS, ACMA**  
**PRACTISING COMPANY SECRETARY**  
#10, AG's Colony, Anandnagar, Bangalore – 560 024, Tel: 080 42146796  
Email: gsp@grapbind.com

*Secretarial compliance report of Mindtree Limited*  
(CIN: L72200KA1999PLC025564)  
for the year ended 31<sup>st</sup> March, 2021

I have examined:

- a) all the documents and records made available to me and explanation provided by **Mindtree Limited** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, as applicable have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (e) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (f) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) Securities and Exchange Board of India (Buy-back of Securities) Regulations, 2018;

- (i) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013;

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above applicable Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
<i>NIL</i>			

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from my examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

Sr. No	Actions taken By	Details of Violation	Details of Action taken Eg. Fines, Warning Letter, debarment, etc.	Observations/Remarks of the Practicing Company Secretary, if any
<i>NIL</i>				

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended	Actions taken by the Listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
<i>Not applicable</i>				

- e) The statutory auditors have been re-appointed and the terms of appointment of the said auditors have been made in conformity with para 6(A) and 6(B) of SEBI circular No. CIR/CFD/CMD1/114/2019 dated 18<sup>th</sup> October 2019.

Place: Bengaluru  
Date: 31-05-2021  
UDIN: A006357C000399741

**Shanker**  
**Prasad G**

Digitally signed  
by Shanker  
Prasad G  
Date: 2021.05.31  
17:03:08 +05'30'

**G. Shanker Prasad**  
**ACS No.: 6357**  
**CP No: 6450**

**NOTE:**

I have conducted online verification and examination of records as facilitated by the Company, due to Covid-19 pandemic and subsequent lockdown situation, for the purpose of issuing this Report.