



Mindtree

Welcome to possible



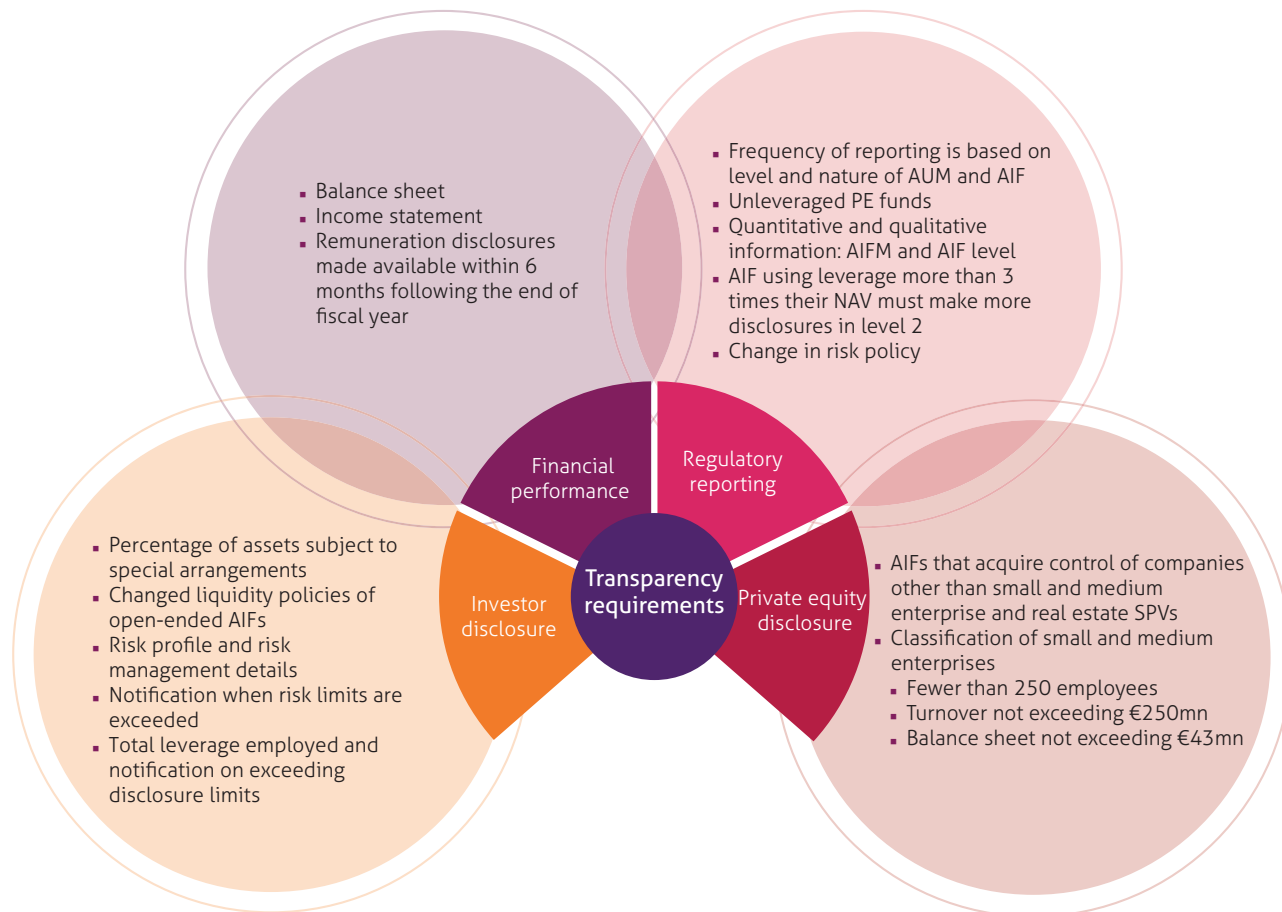
Alternative Investment Fund Managers Directive (AIFMD) Compliance Simplified with Mindtree's Reporting Solution

BROCHURE

Alternative Investment Fund Managers Directive (AIFMD) is a major EU regulatory initiative to extend appropriate regulation and supervision to the alternative investment fund management industry.

AIFMD aims to provide a common regulatory framework and common rules for supervision of alternative investments. Its three key objectives are transparency, regulatory compliance and risk management.

AIFMD transparency requirements



Mindtree's AIFMD regulatory reporting solution

Mindtree's AIFMD regulatory reporting solution makes compliance easy, quicker and cost-effective. The solution captures information from multiple sources, aggregates it and files in regulator-specified format. The integrated regulatory reporting can be easily extended to other regulations too.

Our solution has inbuilt ready-to-use European Securities and Markets Authority (ESMA) reporting templates and a data model that is designed using the target report structure. Using a business intelligence tool, the solution interfaces with existing client source databases to extract information and populate data into the target database. It runs its application hosted in a cloud environment and reduces the need for extensive internal IT infrastructure.

AIFMD reporting challenges

AIFMD transparency report necessitates the gathering of a broad range of data from multiple sources. Manual paper-based systems, new regulatory requirements, multiple and disparate systems, duplicated efforts and records and contradictory processes are inhibiting firms from achieving complete compliance. They also bring down efficiency and escalate risks.

Collation of required details becomes complex due to:

- Fragmented data sources across internal and external systems.
- Numerous calculations to be performed, many of which are new and not part of daily operations.
- Different data formats – industry standards and internal identifiers.
- AIFMD-specific identifiers and codes.
 - Approval from multiple departments
 - Formatting reports into AIFMD templates

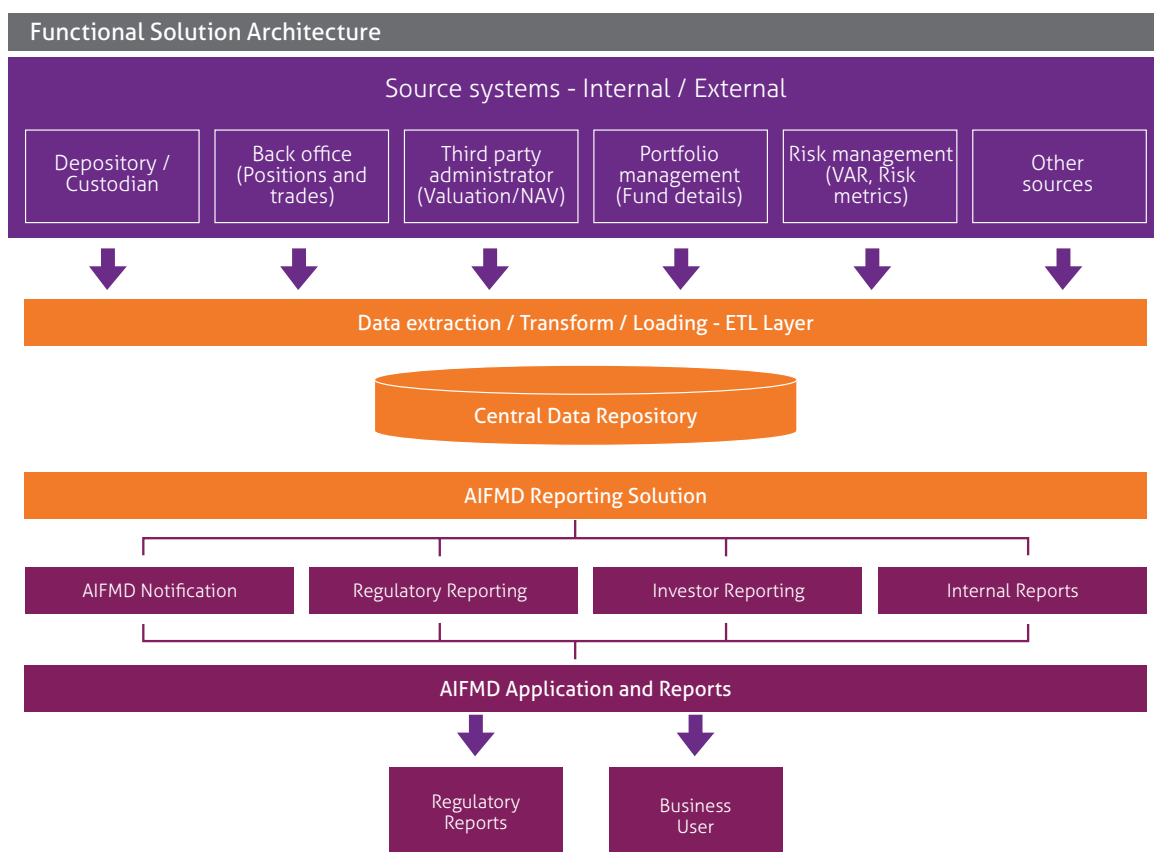
In addition, qualitative information also needs to be collected. AIFMD requires explicit details about justification of the valuations assigned to illiquid or exotic assets, which adds to the challenge of compiling, organizing and delivering the obligatory reports.

Key features of Mindtree solution

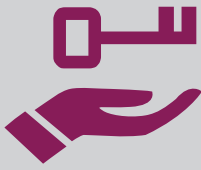
Our solution is cost-effective as it brings down analysis and design effort with its ready templates. Key features include:

- **Reporting and archival:** Our solution helps address reporting obligations by streamlining the reports that need to be generated. Reports are archived for quick retrieval and dissemination of necessary information as mandated by AIFMD. Each archived report features a full audit trail, including a timestamp, the changes, the new and previous values, as well as the relevant user(s) involved in the changes.

- **Robust data model:** AIFMD solution has robust data management capabilities with a comprehensive data dictionary. They also address the valuation and pricing data requirements for the various instruments by building a robust data model, identifying the source databases which store this information and extracting the same.
- **Calculation of AuM:** AIFMD requires AIFMs to monitor and accurately calculate the value of AuM for all its AIFs. Our solution performs all of the required calculations automatically and highlights the results for review.
- **The workflow engine:** It implements the four-eye principle and protects against conflicts of interest. Our solution drives the review and approval process to validate calculations and correct any gaps. E-mail communication and onscreen editing are used to ensure seamless integration with existing business processes.



Business Benefits



Solution framework
Ready solution framework to implement regulation brings down overall analysis and design effort.



Subject matter experts
Reduce the time required to analyze and specify business requirements.



Ready-to-use report templates
Reduces time spent on developing out-of-the-box reports for regulators and operators.



Consistent and standard terminology
Fosters collaboration between business analysts and technical developers.



Continuous upgrade
Progressive elaborations to the legislations eliminates modernization challenges for reporting solutions.

The Mindtree advantage

When you partner with Mindtree, in addition to our AIFMD regulatory compliance expertise, you get transformation proficiency backed by collaborative culture. Success is ensured with attention from all quarters, including our top leadership. By optimizing IT operations, we create time and funds for core technology initiatives that help transform your business. We are certain that our commitment, passion, business insight and breakthrough technical innovations will speak for themselves.

At Mindtree, it is all about unleashing possibilities.

Write to us at info@mindtree.com and take the first step towards AIFMD compliance.

About Mindtree

Mindtree [NSE: MINDTREE] delivers technology services and accelerates growth for Global 1000 companies by solving complex business challenges with breakthrough technical innovations. Mindtree specializes in e-commerce, mobility, cloud enablement, digital transformation, business intelligence, data analytics, testing, infrastructure, EAI and ERP solutions. We are among the fastest growing technology firms globally with more than 200 clients and offices in 14 countries.